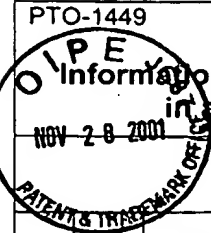
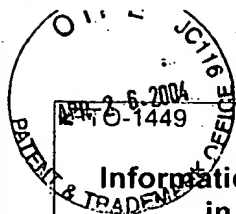


PTO-1449		Application No. 09/976,750		Applicant(s) Manoel (nmi) Tenorio		
 <b>Information Disclosure Citation in an Application</b>		Docket Number 020431.0919		Group Art Unit 3691	Filing Date 10/12/01	
		<b>U.S. PATENT DOCUMENTS</b>				
	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<b>NON-PATENT DOCUMENTS</b>						
		<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>				<b>DATE</b>
ML	A	@The Moment: The Platform for Real-Time Trading; "How Quickly Can You Respond to Your Markets?" pp.1				2001
	B	@The Moment Solutions; "Dynamic Trading for a Dynamic World" pp 1				2001
	C	Trade@The Moment: The Platform for Real-Time Trading; pp 1-2				2001
	D	@The Moment Real-Time Trading Applications; pp 1-2				2001
	E	@The Moment Professional Services; pp 1				2001
	F	@The Moment Trade @The Moment FAQ; "Frequently Asked Questions (FAQ) About Dynamic Trading" pp 1-9				2001
	G	Trade@The Moment Demos; pp 1				2001
	H	@The Moment Screen Shots; pp 1-3				2001
	J	Market Operator Navigation; pp 1				2001
	K	Market Type Selection Page; pp 1-2				2001
	L	Market Access Control Selection Page; pp 1-2				2001
	M	Market Report; "marketplace@themoment;" pp 1				2001
	N	Bid/Ask Pricing Page, pp 1-4				2001
	O	Auction Page; pp 1-4				2001
	P	Bid/Ask Page/Pitometer; pp 1-4				2001
	Q	Bid/Ask Page/Order Book; pp 1-4				2001
ML	R	@TheMoment Technology; pp1-2				2001

ML	S	@TheMoment Papers; pp 1	NOV 28 2001	2001
	T	@TheMoment Reliant Energy Customer Story; "Reliant Energy Turns to @TheMoment to Provide First ERCOT Auction for Electricity Generation Capacity;" pp 1-2		
	U	@TheMoment White Papers; pp 1		2001
	V	@TheMoment White Paper Series; "Leveraging Web-Based Dynamic Trading for Gas Pipeline Capacity Sales;" pp 1-8		7/2001
	W	@TheMoment White Paper Series; "Building Competitive Advantage in Turbulent Markets Through Web-Based Dynamic Trading Technologies;" pp 1-12		8/2001
	X	@TheMoment White Paper Series; "Reducing Inventory Risks in High-Tech Component Manufacturing with Forward Contracts;" pp1-12		8/2001
	Y	@TheMoment White Paper Series; "The Role off Web-Based Dynamic Trading in Restructured Electric and Gas Markets;" pp 1-14		10/2001
	Z	Trade@TheMoment: LDC Data Sheet; "The Complete Trading Solution for LDCs;" pp 1-4		10/2001
ML	AA	Trade@TheMoment:Power Data Sheet; "The Complete Trading Solution for Electric Utilities;" pp 1-2		11/2001
EXAMINER /Marissa I-Jung Liu/			DATE CONSIDERED 1/19/2007	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.				

U.S. Patent and Trademark Office

RECEIVED  
DEC 10 2001  
Technology Center 2100



# Information Disclosure Citation in an Application

Application No.

09/976,750

Docket Number

020431.0919

Applicant(s)

Manoel (nmi) Tenorio

Group Art Unit

3691

Filing Date

October 12, 2001

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
ML	A	5,689,652	11/18/1997	Lupien et al.	395	237	04/27/1995
ML	B	5,845,266	12/01/1998	Lupien et al.	705	37	12/12/1995
ML	C	6,098,051	08/01/2000	Lupien et al.	705	37	04/26/1996
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						
	M						
	N						

RECEIVED  
MAY - 3 2004  
GROUP 3600

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
ML	O	Lian-Sheng Tsai, Director, First Office Action for Patent Application No. 91123498, Mailed, Date of Receipt: March 31, 2004, entitled "Displaying Strikes Between Bids and Asks in a Market over time Using Polygons," 1 page.	Received 03/31/2004
	P		
	Q		
	R		

EXAMINER

/Marissa I-Jung Liu/

DATE CONSIDERED

1/19/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. Patent and Trademark Office